

# madhusudan securities ltd.

Regd. Office: 37, National Storage Building, Plot No, 424-B, Nr. Johnson & Johnson Building, S. B. Road, Mahim (West), Mumbai - 400 016. Tel No. 9867658845, Email id: mslsecurities@yahoo.com , CIN: L18109MH1983PLC029929

21<sup>st</sup> October, 2023

To,  
The General Manager,  
Listing Department  
**BSE Limited**  
Pheroze Jeejeebhoy Tower,  
Dalal Street  
Mumbai- 400001

**Scrip Code: 511000**

**Subject: SDD compliance certificate for the quarter and half year ended on September 30, 2023**

Dear Sir/Madam,

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), please find enclosed herewith the SDD compliance certificate for the quarter and half year ended on September 30, 2023.

Kindly take the above information on record.

Thanking You,

Yours Faithfully,

**For Madhusudan Securities Limited,**

SALIM PYARALI  
GOVANI

Digitally signed by SALIM  
PYARALI GOVANI  
Date: 2023.10.21 12:26:40  
+05'30'

**Salim Pyarali Govani**  
**Chairman & Managing Director**  
**DIN: 00364026**

**Place: Mumbai**

**COMPLIANCE CERTIFICATE FOR THE QUARTER & HALF YEAR  
ENDED ON 30<sup>TH</sup> SEPTEMBER, 2023**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Mr. Ashwini Gupta, Practising Company Secretary appointed by **Madhusudan Securities Limited** am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in the Database
4. The system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 04 number of events during the quarter ended and has captured 04 number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard:

**For A R Gupta & Co.**  
**Company Secretaries**

ASHWINI  
RAMAKANT  
GUPTA

Digitally signed by  
ASHWINI RAMAKANT  
GUPTA  
Date: 2023.10.20 22:29:12  
+05'30'

**Ashwini Ramakant Gupta**  
**Proprietor (M.no A49821 & COP 18163)**

Date : 20/10/2023

Place: Mumbai

UDIN.: **A049821E001403657**

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Address : A002 Suryakiran CHS, Nr St Mary School Near Gavdevi Mandir, Anand Nagar,  
Avdhut Nagar, Dahisar East, Mumbai, Maharashtra 400068