

madhusudan securities ltd.

Regd. Office: 37, National Storage Building, Plot No, 424-B, Nr. Johnson & Johnson Building, S. B. Road, Mahim (West), Mumbai - 400 016. Tel No. 9867658845, Email id: mslsecurities@yahoo.com , CIN: L18109MH1983PLC029929

20th January, 2024

To,
The General Manager,
Listing Department
BSE Limited
Pheroze Jeejeebhoy Tower,
Dalal Street
Mumbai- 400001

Scrip Code: 511000

Subject: SDD compliance certificate for the Quarter ended on December 31, 2023

Dear Sir/Madam,

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), please find enclosed herewith the SDD compliance certificate for the quarter ended on December 31, 2023.

Kindly take the above information on record.

Thanking You,

Yours Faithfully,

For Madhusudan Securities Limited,

SALIM PYARALI
GOVANI

Digitally signed by
SALIM PYARALI GOVANI
Date: 2024.01.20
18:04:38 +05'30'

Salim Pyarali Govani
Chairman & Managing Director
DIN: 00364026

Place: Mumbai

**COMPLIANCE CERTIFICATE FOR THE QUARTER
ENDED ON 31ST DECEMBER, 2023**

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Mr. Ashwini Gupta, Practising Company Secretary appointed by **Madhusudan Securities Limited** am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in the Database
4. The system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 04 number of events during the quarter ended and has captured 04 number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard:

**For A. R. Gupta & Co.,
Company Secretaries**

ASHWINI
RAMAKANT
GUPTA

Digitally signed by ASHWINI
RAMAKANT GUPTA
Date: 2024.01.20 15:42:35
+05'30'

Ashwini Gupta
Proprietor
M. No: 49821/ C. O. P. No: 18163
UDIN: A049821E003271501

Date: 20/01/2024
Place: Mumbai

**Address : Office No.2, A wing, Surya Kiran CHS, Jaya Nagar, Avdhut Nagar, Dahisar East
-400068 Maharashtra.**

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